

**GENERAL MEETING OF THE BOARD OF DIRECTORS
OF THE
CENTRAL TEXAS REGIONAL MOBILITY AUTHORITY**

RESOLUTION NO. 14-062

**APPROVING AN UPDATED LIST OF FINANCIAL INSTITUTIONS AND
BROKERS AUTHORIZED TO PROVIDE INVESTMENT SERVICES TO
THE MOBILITY AUTHORITY.**

WHEREAS, Article 1 (Investment Policy), Chapter 2 (Finances) of the Policy Code establishes the Mobility Authority's investment policy in compliance with the Texas Public Funds Investment Act, Chapter 2256 of the Texas Government Code; and

WHEREAS, Sec. 201.011 of the Policy Code provides that "financial institutions and qualified brokers authorized to provide investment services and engage in investment transactions with the authority" shall be approved by a separate resolution adopted by the Board of Directors; and

WHEREAS, the current list of brokers authorized by the Board of Directors was established by Resolution No. 14-007, dated January 29, 2014; and

WHEREAS, the Executive Director, Chief Financial Officer, and Controller recommend that the Board update and restate the list of financial institutions and authorized brokers to include the firms named on the list of Financial Institutions and Authorized Investment Brokers attached as Exhibit 1.

NOW, THEREFORE, BE IT RESOLVED that the firms included on the list of Financial Institutions and Authorized Investment Brokers attached as Exhibit 1 are hereby authorized to provide investment services and engage in investment transactions with the Mobility Authority.

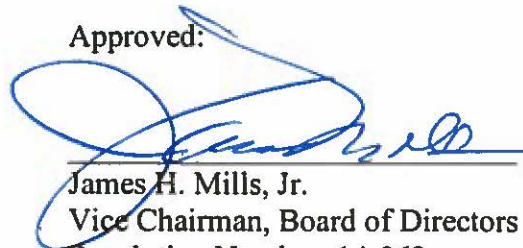
Adopted by the Board of Directors of the Central Texas Regional Mobility Authority on the 24th day of September, 2014.

Submitted and reviewed by:



Andrew Martin
General Counsel for the Central
Texas Regional Mobility Authority

Approved:



James H. Mills, Jr.
Vice Chairman, Board of Directors
Resolution Number: 14-062
Date Passed: 9/24/14

EXHIBIT 1 TO RESOLUTION 14-062
FINANCIAL INSTITUTIONS AND
AUTHORIZED INVESTMENT BROKERS

Coastal Securities
206 Wild Basin Road, Suite 109
Austin, Texas 78746

JPMorgan Chase Securities, Inc.
1717 Main Street, Lower Level 1
Dallas, TX 75201

Gilford Securities Incorporated
777 Third Avenue
New York, NY 10017

First Allied Securities, Inc.
655 West Broadway, 12th Floor
San Diego, CA 92101

First Empire Securities
100 Motor Parkway, 2nd Floor
Hauppauge, NY 11788

First Southwest Company
325 North Saint Paul, 8th Floor
Dallas, TX 75201

Bank of America Securities
One Bryant Park, 4th Floor
New York, NY 10036

Morgan Asset Management (Regions Bank)
500 North Akard Street, Ste. 100
Dallas, TX 75201

Regions Bank
100 Congress Avenue
Austin, TX 78701

Alamo Capital
201 N. Civic Drive, Suite 145
Walnut Creek, CA 94596

Oppenheimer & Co. Inc.
85 Broad Street, 22nd Floor
New York, NY 10004

Multi-Bank Securities, Inc.
1000 Town Center #2300
Southfield, MI 48075

Stifel, Nicolaus & Company, Inc.
6075 Poplar Avenue, Suite 628
Memphis, TN 38119